

FEE TABLE

1. Trading Services

(A) Hong Kong Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
CCASS Fee	0.01% (Minimum HKD 3, Maximum HKD 300)
Stamp Duty	0.1% (Minimum HKD 1, any decimal amount of less than HKD 1 will be rounded up to nearest HKD) <u>This fee is waived for ETF trading</u>
Transaction Levy	0.0027%
Trading Fee	0.00565%
FRC Transaction Levy	0.00015%

(B) US Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Trading Activity Fee (TAF)	0.000166 per stock (sell trades only , subject to maximum of USD 8.30)
Securities and Exchange Commission (SEC) Fee	0.00278% (sell trades only)
Financial Transaction Tax	0.3% (buy trades only, applicable for French ADRs with market cap >= EUR 1B) 0.1% (buy trades only, applicable for Italian ADRs with market cap >= EUR 500M) 0.2% (buy trades only, applicable for Spanish ADRs with market cap >= EUR 1B)
American Depository Receipt (ADR) Depository Receipt (DR) Fee	varies with each counter

(C) CN Market

Stocks/ETFs Trading (when buying or selling Stocks/ETFs, the following fees will be incurred based on the transaction amount for each trade)	
Commission Fee	Negotiable
Handling Fee	0.00487%
Securities Management Fee	0.002%

元庫證券有限公司 Silverbricks Securities Company Limited

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Transfer Fee	0.002%
Stamp Duty	0.1% (sell trades only) <u>This fee is waived for ETF trading</u>
Portfolio Fee	Holding stock value * 0.008% / 365 days (calculated daily, collected monthly)

2. Physical Scrip Handling & Settlement Services (Hong Kong Market)

Stock Deposit – Physical	
Stamp Duty	HKD 5 per certificate / per transfer deed
Stock Withdrawal – Physical	
Action Fee	HKD 5 per board lot (Odd lots: HKD 5)
Handling Fee	HKD 150
CCASS Settlement Instruction	
Stock Withdrawal	0.03% of stock value, Minimum HKD 3; plus Handling Fee of HKD 100 per stock
Investor Settlement Instruction Transactions (ISI)	
Stock Withdrawal	HKD 30 per stock

3. Nominee Services & Corporate Actions (Hong Kong Market)

Dividend Collection	
Handling Fee	0.50% of gross dividend collected (Minimum HKD 30, Maximum HKD 5,000)
Scrip Fee (Book Close)	HKD 2 per board lot
Bonus Collection/Bonus Warrant Collection	
Handling Fee	HKD 30
Scrip Fee (Book Close)	HKD 2 per board lot
Rights Collection	
Handling Fee	HKD 30
Scrip Fee (Book Close)	HKD 2 per board lot
Rights Subscription	
Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot
Warrant/Covered Warrant Conversion	
Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot
Cash Offer	

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Handling Fee	HKD 30
Corporation Action Fee	HKD 1 per board lot
Stamp Duty	0.13% (Any fraction of HKD 1,000 of consideration shall be rounded up to the nearest HKD 1,000)
Dividend Claim	0.50% of Total Dividend Amount (Minimum HKD 30; Maximum HKD 5,000) ; plus Handling Fee of HKD 500 (Charged whether the claim is succeed or not; Additional fees may be applied in special cases)

4. Other Services

IPO Application/Stop Cheque/Returned Cheque	
Handling Fee	HKD 100
Duplicate Copy of Statement	
Within 3 months	Free
Beyond 3 months	HKD 30 per set
Audit Confirmation	
Handling Fee	HKD 200 per letter
Interest for Late Payment	
Custodian Account	Prime Rate + 8%
Margin Account	Prime Rate + 4.625%
Remittance	
CHATS (Except for Bank of China (HK))	Hong Kong Dollar: HKD 300 Foreign Currency: HKD 400
Instant Payment Handling Fee (For instruction after 11am)	

Notes :

- The charges above are for reference only, charges are subject to change by the Company at any time without prior notice.
- Please contact your Account Executive if you have any enquiries.

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